

CAMPAIGN FINANCE AND ETHICS COMMISSION



Third Quarter Report July - Sept 2022

July-Sept 2022

Project	Status
<p>Ethics (AB 1234) Monitoring</p>	<p>ON GOING — 2022 is a renewal year with a new in-house training program. A total of 45 people took the in-house training in the first and second quarters and we received positive reviews via SurveyMonkey. The June reminder letter created a surge in enrollment. August had 32 attendees in our in-house training.</p> <p>The compliance rate in the third quarter remained at approximately 50%. We expect significant increases in the fourth quarter with 23 people signed up for the October training.</p>
<p>Newly Elected and Appointed Official and Staff training program</p>	<p>COMPLETED — One training program was completed for Staff of an elected official in the third quarter.</p>
<p>Campaign Disclosure Review /Audits</p>	<p>ON GOING — Post-Election and First Semi-Annual audits were completed in less than 45 days.</p>
<p>Education Outreach</p>	<p>ON GOING — Education outreach continues to be limited to in-house audiences. A new course for Ethical Practices in Procurement is being prepared.</p>

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Lobbyist Registration	ON GOING — Notices to lobbyists to update information were sent out and elicited a substantial response. Orange County requires lobbyists to update their registration within 10 days of a change. It appears notices need to be sent out related to this requirement.
Finance and Budget	ON GOING — The budget situation appears to be stable through 2023. Additional funds will be sought in future years to cover increased costs being experienced by the office.
Complaint/Advice Program	ON GOING — Advice is only logged if the calling party requests advice on a legal issue that requires interpretation of facts and laws. A total of 40 requests have been made through the third quarter. No new complaints were received in the third quarter. (Please see page 4 for discussion.)

Summary of 2022 Complaints:

22-01: Complaint received on January 6, 2022, related to Fullerton Heights activity. No jurisdiction, no action taken. Closed.

22-02: Complaint received on January 5, 2022, related to private attorney malpractice. Provided contact information to file complaint with State Bar. No jurisdiction. Closed.

22-03: Complaint received on January 20, 2022, related to annexation and cessation notice. No jurisdiction, no action taken. Closed.

22-04: Complaint received on January 27, 2022, demanding reversal of toll road violation. Complainant had already contacted Toll Road agency. No jurisdiction, no action taken. Closed.

22-05: Complaint received on February 7, 2022, identified potential excess contribution. Issue had been identified in office audit and corrected. Informed complaining party and provided notice of refund. Closed.

22-06: Complaint received on February 22, 2022, related to activity of Irvine City Council member. No jurisdiction, no action taken. Closed.

22-07: Complaint received on March 8, 2022, related to candidate advertising. Contacted complaining party to let them know the matter was not within the Office's jurisdiction but that investigation revealed potential issues with reporting. Contacted candidate and closed case.

22-08: Complaint received on April 20, 2022, identified potential excess contribution. Issue had been identified in office audit and corrected. Informed complaining party and provided notice of refund. Closed.

22-09: Complaint received on April 26, 2022, related to failure to receive sufficient voter materials. Forwarded complaint to Registrar of Voters. Closed.

22-10: Complaint received on May 19, 2022, related to candidate failing to receive notice of independent expenditure. Notice of Violation issued, party provided evidence of attempted notice thus NV retracted, Letter sent informing PAC of email addresses to use for notices to each of the candidates. Case closed.

22-11: Complaint received on May 31, 2022, related to candidate failing to file timely 460 and failing to report payments of filing fees. Contacted Registrar of Voters and FPPC and determined 460 was paper filed on time but not uploaded for public viewing by ROV. FPPC confirmed that candidate in question did not need to report filing fee payment as used personal funds. Contacted complaining party with investigation results. Case closed.