

CAMPAIGN FINANCE AND ETHICS COMMISSION



Second Quarter Report April - Jun 2022

Apr-Jun 2022

Project	Status
Ethics (AB 1234) Monitoring	ON GOING — 2022 is a renewal year with a new in-house training program. A total of 45 people took the in-house training in the first and second quarters and we have had positive reviews via SurveyMonkey. The June reminder letter created a surge in enrollment. August has 32 attendees currently scheduled. There was normal compliance rates in the first and second quarters— 50%.
Newly Elected and Appointed Official and Staff training program	COMPLETED — New training program ready for use in 2022 and has been scheduled for new employees in August.
Campaign Disclosure Review /Audits	ON GOING — Post-Election and First Semi-Annual audits will begin in August.
Education Outreach	ON GOING — Education continues to be limited to in-house audiences.

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Lobbyist Registration	ON GOING —All lobbyists have been re-registered for 2022. We have processed numerous new registrations, information changes and deactivations. There are currently 71 active lobbyists. We had the first instance of an individual who submitted paperwork but failed to pay for lobbying fees and the Office needed to notify the Board of the deficiency.
Finance and Budget	ON GOING —The budget situation appears to be stable through 2023.
Complaint/Advice Program	ON GOING — Advice is only logged if the calling party requests advice on a legal issue that requires interpretation of facts and laws. A total of 23 requests were made in the second quarter. Four complaints were received in the second quarter— three of which were within our jurisdiction. One complaint involved a matter that was already identified in an office audit. And the other two complaints were resolved immediately. (Please see page 4 for discussion.)

Summary of 2022 Complaints:

22-01: Complaint received on January 6, 2022, related to Fullerton Heights activity. No jurisdiction, no action taken. Closed.

22-02: Complaint received on January 5, 2022, related to private attorney malpractice. Provided contact information to file complaint with State Bar. No jurisdiction. Closed.

22-03: Complaint received on January 20, 2022, related to annexation and cessation notice. No jurisdiction, no action taken. Closed.

22-04: Complaint received on January 27, 2022, demanding reversal of toll road violation. Complainant had already contacted Toll Road agency. No jurisdiction, no action taken. Closed.

22-05: Complaint received on February 7, 2022, identified potential excess contribution. Issue had been identified in office audit and corrected. Informed complaining party and provided notice of refund. Closed.

22-06: Complaint received on February 22, 2022, related to activity of Irvine City Council member. No jurisdiction, no action taken. Closed.

22-07: Complaint received on March 8, 2022, related to candidate advertising. Contacted complaining party to let them know the matter was not within the Office's jurisdiction but that investigation revealed potential issues with reporting. Contacted candidate and closed case.

22-08: Complaint received on April 20, 2022, identified potential excess contribution. Issue had been identified in office audit and corrected. Informed complaining party and provided notice of refund. Closed.

22-09: Complaint received on April 26, 2022, related to failure to receive sufficient voter materials. Forwarded complaint to Registrar of Voters. Closed.

22-10: Complaint received on May 19, 2022, related to candidate failing to receive notice of independent expenditure. Notice of Violation issued, party provided evidence of attempted notice thus NV retracted, Letter sent informing PAC of email addresses to use for notices to each of the candidates. Case closed.

22-11: Complaint received on May 31, 2022, related to candidate failing to file timely 460 and failing to report payments of filing fees. Contacted Registrar of Voters and FPPC and determined 460 was paper filed on time but not uploaded for public viewing by ROV. FPPC confirmed that candidate in question did not need to report filing fee payment as used personal funds. Contacted complaining party with investigation results. Case closed.