



## *Campaign Finance and Ethics Commission*

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### **AGENDA STAFF REPORT**

**MEETING DATE: October 26, 2020**

**Agenda Item: Two**

SUBJECT: Review and Approve Transmittal of 2020 Third Quarter Report to Board of Supervisors.

RECOMMENDED ACTION: Approve Third Quarter Report as drafted.

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Background:

The Campaign Reform Ordinance provides that the Executive Director of the Commission shall prepare a quarterly report of the office's activities and submit it to the Commission prior to transmittal to the Board of Supervisors.

Specifically, Orange County Codified Ordinance section 1-2-359(k) provides "[t]he Executive Director shall prepare a quarterly report that will provide a general overview of activity. The Executive Director shall submit this report to the Commission for review before submission to the Board of Supervisors."

Attached as Exhibit A is the Third Quarter Report for 2020 that covers the period of July through September 2020.

# Quarterly Report

## July — Sept 2020

Office of Campaign Finance and Ethics Commission



# July – September 2020

| Project   | Status   |
|---|--|
| Ethics (AB 1234) Monitoring                                     | <p><b>ON GOING</b> — The second quarter reminder notice brought about significant compliance improvement. At our second quarter update, 203 of the over 400 individuals required to take the training had not yet completed the program. We now have 175 individuals still needing to complete training prior to the December deadline. This includes 34 newly appointed Commissioners. A final reminder will be sent October 30th. In person training has been developed by the Office and completed for three individuals.</p> |
| Newly Elected and Appointed Official and Staff training program | <p><b>COMPLETED</b> —All elected officials and staff as of December 2019 have received the approved training. A new program will be developed in 2021 based on identified improvement potential.</p>   |
| Campaign Disclosure Review /Audits                              | <p><b>ON GOING</b> — Audits for 2020 were almost completed in the third quarter.</p>   |
| Education Outreach  | <p><b>ON GOING</b> — Public outreach began again in an on-line format. Training for Orange County employees and AB1234 was conducted during the third quarter.</p>   |

# July – September 2020

| <b>Project</b>                      | <b>Status</b>  |
|-------------------------------------|--|
| <b>Lobbyist Portal/registration</b> | <b>ON GOING</b> —In-house project has been a complete success. New registrations continue even during the time of the nationwide social distancing programs. Moreover, lobbyists continue to contact the office to update information as required by law. We currently have 86 active lobbyists in the database and have consistently updated the public list within 24 hours of any changes or registrations. |
| <b>Finance and Budget</b>           | <b>ON GOING</b> —All non-discretionary spending has been frozen in all County departments. The budget situation has not changed since the last report. Money savings measures are being considered in every area including moving Commission meeting to Grand Avenue so that parking, room and administrative costs can be avoided.  |
| <b>Complaint Program</b>            | <b>ON GOING</b> — A total of 19 written complaints were received through September 2020. Please see page 4 for a description. All have been closed. Multiple calls are received on a weekly basis but are being referred to proper local, County or State services.  |

### Summary of 2020 Complaints:

20-01: Complaint received on May 21, 2020 related to Shea. Ongoing complaint, placed in folder related to complainant. No jurisdiction, no action taken. Closed.

20-06: Complaint received on April 3, 2020 related to barber shop located in Westminster violating Covid shutdown. Provided contact information to file complaint. No jurisdiction. Closed.

20-07: Complaint received on April 24, 2020 related to numerous reporting errors in connection with the Committee for Ashley Atkin for Supervisor 2020. Researched and responded. Found documentation that the Committee has properly reported the cash on hand as well as expenses. Letter sent to complainant. Closed.

20-08: Complaint received on May 8, 2020 related to County of Orange employees and the stay at home order. No jurisdiction, information forward to correct agency. Closed.

20-09: Complaint received on May 14, 2020 related to boat slips and leases in Dana Point Harbor. Responded and provided information seeking to file Government Claim against the County. No jurisdiction. Closed.

20-10: Complaint received on May 22, 2020 related to health hazard on the paths in the City of Lake Forest. Provided information to the City of Lake Forest's Code Enforcement Division. No jurisdiction. Closed.

20-11: Complaint received on May 26, 2020 related to Covid and police officers. No jurisdiction, no action taken. Closed.

20-12: Complaint received on May 30, 2020 related to pharmaceuticals. No jurisdiction, no response. Closed.

20-13: Complaint received on June 3, 2020 related to discrimination in a protective order. No jurisdiction – no action; closed.

20-14: Complaint received on June 11, 2020 related to the County of Orange Probation Department employee. Responded and provided resources for the complaint. Closed.

20-15: Complaint received on June 14, 2020 related to social distancing and no masks worn at a rooftop and elevator of a hotel located in Irvine, CA. Not within jurisdiction and the correct group of contacts was included in the initial complaint. Closed.

20-16: Complaint regarding restaurant not complying with COVID 19 guidelines. Provided HCA contact information of complaining party after they confirmed did not oppose, matter not within jurisdiction.

20-17: Complaint regarding daycare not complying with COVID 19 guidelines. Provided complaining party the HCA COVID hotline number, matter not within jurisdiction.

20-18: Complaint regarding government abuse. No allegation related to office jurisdiction. No response provided.

20-19: Complaint related to Anaheim Police Department actions, provided complaining party links to report complaints to City of Anaheim. No jurisdiction.