

Quarterly Report

April - June 2019

Office of Campaign Finance and Ethics Commission



April—June 2019

Project	Status
Ethics (AB 1234) Monitoring	<p>ON GOING — Office continues to contact new members and will send out required yearly notice to all members in September. Manual database created in house has been cost effective but requires significant manual data entry. Received quote from vendor regarding management system similar to Form 700 system. Cost would be justified given the administrative time in database management.</p>
Newly Elected and Appointed Official and Staff training program	<p>ON GOING — Training completed for Supervisor Chaffee and Wagner. Follow up training sessions have been scheduled for all new Board staff in August and November. Also in contact with Sheriff and DA. Will be returning in 2020 with updated training materials for “improvement” of program.</p>
Campaign Disclosure Review	<p>ON GOING — Audits for 2018 have been completed. The final semi-annual audit for 2018 involved only one \$2,000 request for a refund. March 2019 special election audits are current. 2019 first semi-annual disclosure due July 31.</p>
Education Outreach	<p>ON GOING— No public outreach was conducted in this quarter. Internal education programs continue. After March 2020, OCEthics will return to the Commission to determine if public outreach is recommended.</p>

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Lobbyist Portal/registration	<p>ON GOING—Portal project has been transferred to an in-house project. All monetary collection has now been given to Treasurer-Tax Collector; while registration is being handled via the Office. Data transfer from lobbyist portal is now complete. New registrations have increased in 2019 with a confirmed active list of 77 lobbyists. All have paid 2019 registration fees.</p>
Campaign Contribution Database	<p>ON GOING—Orange County Information Technology (OCIT) has provided a working database for investigations. Access for public possible via internet is on hold due to budgetary issues.</p>
Complaint Program	<p>ON GOING— A total of 11 complaints have been received through June 2019. This is an additional 4 complaints this quarter. Several additional complaints were received verbally but were not recorded because they were outside of jurisdiction and callers were given appropriate resources to contact.</p>

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Finance and Budget	ON GOING—OCEthics 2019-2020 budget was approved by the Board at the requested amount. The budget amount remains the same as in previous years—\$451,656.
Advice Tracking System	ON HOLD - A more formal tracking system still needs to be implemented but has been placed on hold due to staffing issues.

Summary of 2019 Complaints:

19-01: Complaint received on 1/11/19 related to agreement between Irvine City Council and Five Point Communities re: Great Park Golf Course. No jurisdiction - no action taken.

19-02: Two complaints received from same complaining party. (1/17/19, 2/5/19) Complaint one relates to Dana Point City Council while complaint 2 relates to TCA. Both complaint are not within jurisdiction. Sent email to complaining party re: TCA complaint to remind party that TCA is not within Office's jurisdiction as indicated in 2017. No further action.

19-03: Complaint received on 1/12/19 related to identity theft, fraud and corruption by Oceanside Police Department and City Council. No jurisdiction - no action taken.

19-04: Complaint received on 1/28/19 alleging racist comment by County employee. No jurisdiction – no action taken.

19-05: Complaint received on 2/4/19 alleging County vehicle parked in handicapped parking spot while at Starbucks. Provided party the Fraud hotline and online reporting instructions. No jurisdiction so no further action taken.

19-06: Request related to public records request made to Toll Roads Agency. Received on 1/31/19. No jurisdiction so no further action taken.

19-07: Complaint received on 4/8/19 related to toxic black mold and two non-County employees mistreating complaining party. Personally spoke to complaining party and she indicated she had place to stay and needed job training. Provided address of County job training center nearest to her physical address. No jurisdiction – no further action.

19-08: Complaint received on 6/3/19 related to alleged misconduct by OCSD. Referred complaining party to OIR and Internal Affairs Division at OCSD. No jurisdiction, matter closed.

19-09: Complaint related to OCPW and improper inspection. Received formal complaint in 6/10/19. Confirmed that complaining party had appealed notice of violation via County policy and sent letter indicating that matter was outside of Office's jurisdiction.

19-10: Complaint related to elected officials not posting oaths and bonds. Complaining party had previously called and been told that the matter was not within our jurisdiction. Matter received on June 4 and closed.

19-11: Complaint related to Santa Anita racetrack received on 6/12/19. Referred complaining party to State of California due to lack of jurisdiction. Matter closed.